

01-24-02

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Docket No. 0575/57474-A/JPW/ADM

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

HON. COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

November 12, 2001

S I R:

Transmitted herewith for filing are the specification and claims of the  
patent application of:  
Ronald Breslow et al \_\_\_\_\_ for

Inventor(s)

BETA-CYCLODEXTRIN DIMERS AND PHTHALOCYANINES AND USES THEREOF

Title of Invention

Also enclosed are:

☒ 5 sheet(s) of \_\_\_\_\_ informal ☒ formal drawings.☐ Oath or declaration of Applicant(s).☐ A power of attorney☐ An assignment of the invention to \_\_\_\_\_☒ A Preliminary Amendment, marked-up version of amended claims (Exhibit A)☒ A verified statement to establish small entity status under 37 C.F.R.  
§1.9 and §1.27.

The filing fee is calculated as follows:

## CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT

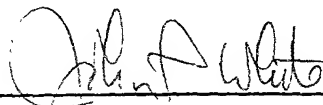
	NUMBER FILED		NUMBER EXTRA*		RATE		FEE	
					SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
Total Claims	20 -20	=	0	X	\$ 9	\$18	= \$0	\$
Independent Claims	2 -3	=	0	X	\$42	\$84	= \$ 0	\$
Multiple Dependent Claims Presented: _____ Yes <input checked="" type="checkbox"/> No					\$140	\$280	= \$ 0	\$
BASIC FEE							\$ 370	\$ 740
TOTAL FEE							\$ 370	\$

\*If the different in Col. 1 is  
less than zero, enter "0" in  
Col. 211/12/01  
1135 U.S. PTO10/054585  
11/12/01

U.S. Serial No.: Not Yet Known  
Filed: Herewith  
Letter of Transmittal  
Page 2

- ☒ A check in the amount of \$ 370.00 to cover the filing fee.
- ☐ Please charge Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the following or credit any over-payment to Account No. 03-3125:
- ☒ Filing fees under 37 C.F.R. §1.16.
- ☒ Patent application processing fees under 37 C.F.R. §1.17.
- ☐ The issue fee set in 37 C.F.R. §1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. §1.311(b).
- ☒ Three copies of this sheet are enclosed.
- ☐ A certified copy of previously filed foreign application No. \_\_\_\_\_ filed in \_\_\_\_\_ on \_\_\_\_\_.
- ☐ Applicant(s) hereby claim priority based upon this aforementioned foreign application under 35 U.S.C. §119.
- ☒ Other (identify) Express Mail Certificate of Mailing bearing Label No. EL013822549US dated November 12, 2001; duplicate set of drawings

Respectfully submitted,



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Ronald Breslow et al.  
U.S. Serial No.: Not Yet Known  
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AND USES THEREOF

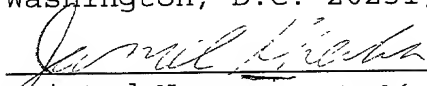
1185 Avenue of the Americas  
New York, New York 10036  
November 12, 2001

Assistant Commissioner for Patents  
Washington, D.C. 20231  
ATTN: Box Patent Application


Sir:

**EXPRESS MAIL CERTIFICATE OF MAILING**  
**FOR ABOVE-IDENTIFIED APPLICATION**

"Express Mail" Mailing Label Number: EL013822549US  
Date of deposit: November 12, 2001  
I hereby certify that this paper or fee is being deposited with the  
United States Postal Service "Express Mail Post Office to  
Addressee" service under 37 C.F.R. §1.10 on the date indicated  
above and is addressed to the Assistant Commissioner for Patents,  
Washington, D.C. 20231, ATTN: Box Patent Application.

  
Printed Name Samuel K. White

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